

Attorney's Docket
068068.0103



PATENT APPLICATION
09/589,037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David S. Litman, et al.
Serial No.: 09/589,037
Filed: June 6, 2000
Title: *SYSTEM AND METHOD FOR CONDUCTING TRANSACTIONS
INVOLVING GENERICALLY IDENTIFIED ITEMS*

BOX MISSING PARTS

Assistant Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) – Filing Date Granted, mailed September 15, 2000. Applicants submit herewith:

- a) A copy of the Notice to File Missing Parts of Application – Filing Date Granted;
- b) Executed Declaration and Power of Attorney document for the above-referenced patent application, which was filed August 22, 2000.

- c) A check in the amount of \$65.00 is enclosed for the payment of the surcharge as a small entity;
- d) Executed Assignment for the above-referenced patent application. The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith; and
- e) Information Disclosure Statement, Form 1449 and a copy of the reference.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.



Kevin J. Meek
Reg. No. 33,738
Attorneys for Applicants

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2001 Ross Avenue
Dallas, Texas 75201
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Date: 1/09/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David S. Litman, et al.
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TRANSACTIONS INVOLVING GENERICALLY
IDENTIFIED ITEMS*



Assistant Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, Notification of Extension of Time, Notice to File Missing Parts of Nonprovisional Application, Declaration and Power of Attorney, Assignment Recordation Form and Assignment, Information Disclosure Statement with one reference, Certificate of Mailing, and checks in the amounts of \$195.00, \$65.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 9th day of January, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EL 759175482 US



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/589,037	06/06/2000	David S. Litman	068068.0103

Baker Botts LLP
2001 Ross Avenue
Dallas T, TX 75201-2980



FORMALITIES LETTER



OC000000005404006

Date Mailed: 09/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Aimar Sahie

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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